ORGANIC LIGHT-SENSITIVE COMPOUNDS. III.* PYRYLIUM SALT SENSITIZERS IN LIGHT-SENSITIVE POLYMERS

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23 pyrylium salts of the types I-III were prepared, their electronic spectra were measured and their sensitization efficiency in light-sensitive layers of polymers IV and V was determined. Relationships found between the structure and photochemical properties are discussed on grounds of the quantum chemical HMO and LCI-SCF calculations.

Pyrylium salts proved useful in photomechanical reproduction as sensitizers of light-sensitive polymers^{1,2} or semiconductors in electrophotographical layers² and as a component of layers in which a colour image emerges owing to the reaction with mercapto compounds under light irradiation⁴. Photosensitized *cis-trans* isomerization of stilbene⁵ represents another example of their application. Synthesis and spectral properties of aryl substituted pyrylium salts have been studied in detail by Wizinger and collaborators⁶⁻⁸, discussions of the NMR and electronic spectra on the grounds of the HMO data were reported by other authors^{9,10}.

In this paper the spectral properties of 2,6-diphenylpyrylium (I), 2,4,6-triphenylpyrylium (II), and 2,4,6-triphenylthiopyrylium (III) salts are described. Sensitization activity of these compounds was measured in layers where light-sensitivity was due to polymers of vinyl esters of cinnamic (IV) and cinnamylideneacetic (V) acids. Experimental results are discussed by means of HMO and LSI SCF quantum chemical data.

EXPERIMENTAL

Before the analysis the samples were dried at 0.2 Torr over phosphorus pentoxide at 60° C for 8 hours. Electronic spectra were measured on an Optica Milano CF 4 spectrophotometer using ethanol as solvent.

Syntheses of Compounds I - V

2,6-Diphenylpyrylium salts (I) were prepared according to Dilthey¹¹ by a treatment of cinnamylideneacetophenone^{12,13} with ferric chloride in acetic acid. 2,4,6-Triphenylpyrylium dyes (II) were prepared by a condensation of aromatic aldehydes with acetophenone in acid media^{6,7}.

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Sensitization Efficiency Tests

Sensitization efficiency of the compounds prepared was tested by measuring the sensitivity of light-sensitive layers¹⁵. The sensitivity is defined as a reciprocal value of exposure which is necessary for a layer to become insoluble and to remain on a support after development. Solvent used for preparation of films was cyclohexanone, the developer was a mixture of cyclohexanone and ethylene glycol monoethyl ether (1:1). Concentrations of solutions, exposures, and the other detailes were the same as in the paper¹⁶.

2,4,6-Triphenylthiopyrylium salts (III) were prepared from the corresponding pyrylium salts II and sodium sulfide in aqueous acetone⁸. Details on syntheses are summarized in Table I. Polymers IV and V were prepared by polymer analogical reaction of poly(vinyl alcohol) (1 mol, Mowiol 50/98) with (a) cinnamoyl chloride (1-2 mol), (b) cinnamylidenacetyl chloride (0-4 mol) and ben-

Quantum Chemical Calculations

Calculations were performed on a National Elliott 503 computer using the programs written in the Group of Applied Quantum Chemistry, Institute of Physical Chemistry. In HMO calculations the following empirical parameters were employed: $\alpha_0 = \alpha + 2\beta$, $\beta_{CO} = 0.8\beta$, $\alpha_S = \alpha + \beta$, $\beta_{CS} = 0.7\beta$. Parameters needful in LCI SCF calculations^{17,18} are listed in Table II. For computational details *cf*. ref.¹⁹. Theoretical quantities not published in this paper are available from the authors on request.

RESULTS AND DISCUSSION

Spectral Properties

Figs 1a-c present the molecular diagrams for unsubstituted pyrylium salts I-III. With pyrylium salts of the types I and II the HMO and SCF molecular diagrams for ground states are nearly identical while with those of the type III a considerably higher electron density on sulphur is predicted by the SCF theory. Owing to a cumulation of electron density on the electronegative O and S atoms a decrease of electron density on C atoms, in 2,4, and 6-positions occurs. The latter are consequently the sites where a reaction with nucleophilic agents can take place. This is in accordance with experimental findings, *e.g.* diphenylpyrylium can be substituted in a 4-position by C-bases²⁰ like dibenzoylmethane or acetylacetone and triphenyl derivatives of II and III in 2- and 6-positions react with amines forming pyridines^{6,8}.

Absorption spectra of the unsubstituted I-III are presented in Fig. 2. The diphenylpyrylium salt exhibits one clean-cut maximum in a long-wave region. Triphenylpyrylium salts possess there two bands; in case of thio derivatives their considerable overlapping occurs. A qualitative interpretation can be given for this by HMO calculations. In 1,3,5-triphenylbenzene the highest occupied molecular orbital is degenerate. If an 0 or S heteroatom is introduced, the degeneracy is removed and two levels with a narrow energy gap originate. Electron promotions from these levels give rise to two absorption bands located near each to other. In 1,3-diphenylbenzene the



FIG. 1

Molecular Diagrams of 1,6-Diphenylpyrylium Ia (a), 2,4,6-Triphenylpyrylium IIa (b), and 2,4,6-Triphenylthiopyrylium IIIa (c)

highest occupied molecular orbital is non-degenerate, therefore only one band of *I* in a long-wave region is observed. Absorption maxima and molar extinction coefficients of the prepared compounds are summarized in Table III.

Quantitative results of the LCI-SCF calculations are compared with measured spectra in Figs 3a - c. With diphenylpyrylium I the calculation corresponds to a nature of the spectrum. The first two strong bands of triphenylpyrylium salts II and III are due to nearly pure $12 \rightarrow 13$ and $11 \rightarrow 13$ electronic transitions which are accompanied by an electron density accumulation on O or S heteroatoms; molecular diagrams for corresponding triplet states are very similar. The calculated wavelength of the long-wave band is in agreement with the experimentally found value for II



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and III, while with the second band the theory overestimates somewhat the transition energy.

The effect of methyl substitution can be interpreted by means of a first order perturbation treatment using the equation²¹

$$\Delta \tilde{v} = (c_{-1}^2 - c_1^2) \, \delta \alpha_{\mu} \,, \tag{1}$$

where $\Delta \tilde{v}$ is frequency shift of the absorption maximum owing to substitution, c_{-1} and c_1 are HMO coefficients of a μ -position in the lowest free and the highest occupied molecular orbital, $\delta \alpha_n$ is a coulomb integral change of the substituted atom;

^{*} Ha, m.p. 294-296°C, ref.¹⁴ m.p. 289°C; *Hf*, m.p. 318-320°C, ref.⁶ 274-275°C; *Hg* m.p. 325-327°C, ref.⁶ 256°C; *HH* m.p. 290-293°C, ref.⁸ m.p. 228-230°C; *HH* m.p. 256-258°C, ref.⁸ m.p. 247-249°C,

for methyl substitution $\delta \alpha_{\mu} = 4290 \text{ cm}^{-1}$. Results of calculations are summarized in Table IV. For the individual substituted methyl derivatives the following conclusions can be formulated: 1. Owing to methyl substitution in the *para* position of 4-phenyl groups of II and III the first two absorption bands coalesce forming one band with a higher intensity and with a maximum lying between the former. The interpretation afforded by the calculations is that that substituent causes a small blue

Com-	Yield, %	Formula					
pound	m.p., °C	(m. w.)	% C	%Н	% Cl	% S	
Ib	14.5	C ₁₈ H ₁₅ ClO ₅	62-34	4.36	10.22	_	
	256 - 257	(346.7)	62.14	4.65	10.50	-	
Ic	14.5	C18H15ClO6	59.60	4.17	9.77	_	
	261262	(360-8)	59.80	4.45	10.03	-	
IIb	29.0	C24H10ClO	68.15	4.53	8.38		
	281-283	(422.9)	68.11	4.52	8.51		
He	15.0	C. H. CIO	68.73	4.90	8.11	_	
110	290-292	(436.9)	68.57	4°90 5·10	7.82		
	22.6		(0.2)	<i>с</i> 1 4	7.06		
114	33·5 305—308	(450-9)	69·26 69·15	5·14 5·22	7.86 7.47		
Hi	26·0	$C_{27}H_{26}CINO_5$	66-03	5·34	7.22	_	
	>300	(480.0)	00.30	5.52	7.38		
IIIb	50.0	C24H19ClO4S	-	-	8.08	7.30	
	187—190	(438-9)			8.48	7.61	
IIIc	82.0	C ₂₅ H ₂₁ ClO ₄ S	_	_	7.83	7.07	
	211-214	(452.9)			7.51	6.87	
IIId	48.5	C26H23ClO4S	_	_	7.59	6.87	
	254256	(467.0)			7.47	7.03	
111i	70.0	CarHacCINO4S	_	_	7.15	4.47	
	286-288	(496.0)			7.28	6.60	
1111	59-0	CH-CINO-S		_	6.72	6.07	
	295-296	(528.0)			6.38	6.09	

TABLE I Synthesized Compounds of the Types *I-III*











Fig. 2

Absorption Curves of Pyrylium Salts I-III($R^1 = R^2 = H$)



Group	Atom	I_{μ} , eV	$\gamma_{\mu\mu}$, eV	$\beta_{\rm C}$, eV	Z_{μ}
	PPI	P — ground a	and singlet sta	tes	
	С	11-42	10.84	-2.318	1
C=0-C	0	27.17	14.58	-2.318	1
C=s−C	S	20.0	10.84	1.623	1
		triplet	states ²⁵		
_	с .	8.80	8.20	-2.5	1
C=0-C	0	24.55	11-94	-2.5	ï
c=s+C	S	17-38	8.20	-1.75	1

TABLE II

Semiempirical Parameters Used in LCI SCF Calculations

shift of the longest-wavelength band $(12 \rightarrow 13)$ and a large red shift of the shorterwavelength band $(11 \rightarrow 13)$. Thus an overlapping of these bands occurs and only one maximum with a higher intensity is observed. 2. Methyl substitution in the *para* position of 2- or 6-phenyl group leads to a red shift of the first band whereas the second band remains nearly unaffected. This experimental finding is seen, from Table IV, to be confirmed by calculations which predict a red shift for the $12 \rightarrow 13$ transition and a small blue shift for the $11 \rightarrow 13$ transition. 3. Introduction of methyl groups into all three phenyl rings shifts both bands bathochromically, in agreement with calculations. The shift of the first band is probably due to substituents in 2- and 6-phenyl rings. 4. Methyl substitution on the phenyl ring of *I* gives rise to a little red shift, which was confirmed by calculations.

The effect of methoxy and dimethylamino substituents on the absorption maxima positions was studied in detail by Wizinger⁶⁻⁸. We obtained the same results: both substituents in all positions considered cause a considerable red shift, in particular in these derivatives. This shift is much larger than that estimated by the perturbation calculations, where only the inductive effect of substituents is considered. As the positive mesomeric effect equilibrates the electron density distributions in molecules, the calculations for unsubstituted compounds cannot afford any interpretation of experimental data.

Sensitization Efficiency

A sensitization of the compounds synthesized was tested by an enhanced sensitivity of a light-sensitive layer prepared from polymer esters IV and V. In the condensation

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Absorption Maxima of Compounds I-III

Compound	R ¹	R ²	λ _{ms}	_x , nm (ε.10 ⁻	3 , 1 mol ⁻¹ cm	n ⁻¹)
IIa	н	н	245 (18-4)	300 (15.9)	362 (8.20)	414 (5.5)
IIIa	Н	н	248 (15.8)	270 (13.4)	378 (17.8)	415 s (13.6)
Ilb	н	CH ₃	242 (19.5)	317 (15.2)	~	382 (11.2)
111b	н	CH ₃	255 (19-1)	290 s (13.7)	_	404 (28.9)
IIc	CH ₃	н	260 (20.0)	295 (20.5)	368 (4.8)	434 (3.8)
IIIc	CH ₃	н	257 (16.2)	282 s (18·2)	378 (15.5)	436 (14.10)
IId	CH ₃	CH ₃	257 (18.9)	310 (16.7)	382 (13.5)	430 (8.8)
IIId	CH ₃	CH ₃	258 (16.8)	280 (15.9)	403 (23.4)	430 s (19.6)
IIc	н	OCH ₃	241 (20.4)	275 (14.1)	_	422 (24.6)
IIIe	н	OCH ₃	234 (15.7)	263 (21.4)		440 (29.1)
IIf	OCH ₃	н	287 (18.2)	312 (18.2)	426 s (9·3)	472 (10.3)
IIIf	OCH ₃	н	270 (17.0)	300 (18.4)	410 s (10·4)	481 (16.0)
IIg	OCH ₃	OCH ₃	274 (20.5)	310 s (16·5)	420 (23.5)	460 s (17.5)
IIIg	OCH ₃	OCH ₃	233 (19.6)	295 (17.0)	448 (30.4)	475 s (27.8)
IIh	Н	N(CH ₃) ₂	240 (10.4)	270 (9.9)	384 (9.0)	542 (25.3)
IIIh	Н	$N(CH_3)_2$	262 (17.3)	290 s (17·3)	388 (18.3)	584 (53.8)
IIi	CH ₃	$N(CH_3)_2$	250 (10.6)	295 (10.6)	403 (13.0)	540 (41.3)
IIIi	CH ₃	$N(CH_3)_2$	272 (18-2)	292 s (14·9)	410 (15.4)	580 (52.8)
IJj	OCH ₃	$N(CH_3)_2$	271 (18.9)	300 (16.9)	438 (17.4)	536 (47.6)
IIIj	OCH ₃	$N(CH_3)_2$	235 (15.3)	292 (18.5)	456 (18·0)	573 (40.1)
la	н		255 (23.5)		—	398 (4.7)
Ib	CH_3	_	260 (23.5)	_	_	400 (3.5)
Ic	OCH3	tradinal.	225 (14.0)	277 (19.1)		390 (3.6)

TABLE IV Effect of Methyl Substitution on the Absorption Maxima Positions of Compounds I-III

Compound	рl	D ²	Transition	$12 \rightarrow 13$	Transition 11 \rightarrow 13	
Compound	K	к.	$\Delta \tilde{\nu}$, cm ⁻¹	$\Delta \lambda$, nm $\Delta \tilde{\nu}$, cm		Δ <i>λ</i> , nm
Ib	CH ₃		-100^{a}	+2	_	
IIb	н	CH ₃	+210		630	+ 8
IIc	CH ₃	н	- 300	+5	+160	- 2
IId	CH	CH ₁	- 90	+1	470	+ 6
IIIb	н	CH ₃	+210	· _4	- 670	+10
IIIc	CH ₃	н	- 320	+6	+320	- 5
IIId	CH ₁	CH ₃	-110	+2	350	+ 5

^{*a*}Transition 9 \rightarrow 10.

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of the latter a mixture of cinnamylidenacetyl chloride and benzoyl chloride was used because the sensitivity measurements of the poly(vinyl cinnamylidene acetate) alone did not give reproducible results. (Table V). Owing to a low solubility some compounds could not be measured at higher concentrations. Otherwise the same concentration of all sensitizers in light-sensitive layers was used in order to obtain values, from which one can judge on relative sensitization efficiencies of individual compounds. From the values measured in polyester IV the following conclusions can be drawn: 1. Triphenylthiopyrylium salts of the type III are more effective than the corresponding pyrylium salts of the type II. 2. From the triphenylpyrylium salts of types II and III the most effective are the unsubstituted parent compounds. 3. The substituents decrease the sensitization efficiency in the series 4-(4-methylphenyl) > 2,6-bis-(4-

TABLE V

Senzitization Efficiency of Compounds I-III, Measured on the Layer of Sensitized Polymer IV and V

c Weight concentration of	`a sensitizer	' in a dry	/ light-sensitive	layer. Sensitivity c	f unsensitized
polymer: IV 2, V 830.					

Sensitizer	R^1	R^1 R^2		IV, c		<i>V</i> , <i>c</i>		
			1%	3%	6%	1%	3%	6%
IIa	н	H	160	520	660	1 040	1 300	1 875
IIIa	H	н	335	830	1 300	1 210	1 650	2 100
IIb	н	CH ₃	260	415	520	935	1 300	1 875
IIIb	н	CH ₃	330	520	830	1 040	1 650	2 100
IIc	CH ₃	н	55	105	210	1 040	1 300	1 450
IIIc	CH ₃	Н	260	520	660	1 300	1 875	
IId	CH ₃	CH ₃	27	105	210	935	1 300	_
IIId	CH ₃	CH ₃	165	520	660	1 040	1 875	2 350
Ile	н	OCH ₃	165	210		1 450	_	
IIIe	н	OCH ₃	165	210	235	1 650	2 1 0 0	2 840
IIf	OCH_3	н	27	35	55	1 040	_	_
IIIf	OCH ₃	н	35	70	_	1 475	1 875	2 600
IIg	OCH_3	OCH ₃	10	14	_	660	935	_
IIIg	OCH ₃	OCH ₃	45	~		1 300	1 875	
IIh	н	$N(CH_3)_2$	10	14	17	830	520	_
IIIh	Н	N(CH ₃) ₂	10	14	-	660	_	_
Ili	CH ₃	$N(CH_3)_2$	10	12	-	830	660	-
IIIi	CH ₃	$N(CH_3)_2$	10	10	14	660	-	-
IIj	OCH ₃	N(CH ₃) ₂	10	12	_	830	660	
IIIj	OCH ₃	$N(CH_3)_2$	10	12	-	1 170	830	_
Ia	H		10	35	55	1 040	1 650	
Ib	CH ₃		10	35	65	1 040	1 650	
Ic	OCH ₃	—	10	22	27	935		

methylphenyl) > 4-(4-methoxyphenyl) > 2,6-bis-(4-methoxyphenyl) > 4-(4-dimethyl aminophenyl). 4. Diphenylpyrylium salts appear to be low effective sensitizers. In polyesters V layers the following conclusions hold: 1. Triphenylthiopyrylium salts of the type III are more effective than the corresponding pyrylium salts of the type III. 2. From the triphenylpyrylium salts of types II and III the most powerful sensitizers are those bearing a methoxy group in a 4-phenyl ring; with other substituents the efficiency decreases in the series 2,6-bis-(4-methoxyphenyl) > 2,6-bis-(4-methylphenyl) > 4-(4-methylphenyl) = 2,4,6-triphenyl. 3. Dyes substituted by a dimethylamino group do not work as sensitizers, at higher concentration a light-sensitivity of the layer is even lowered. 4. Diphenylpyrylium salts appear to be little effective and tending to form a fog.

In both IV and V polyesters the thio derivatives are superior sensitizers. On the contrary the methoxy group has a quite opposite effect in IV and V. In the former the methoxy substitution decreases the efficiency while in the latter the methoxy derivatives are the most powerful sensitizers. This difference is probably due to different energy levels of triplet states, which appear to be responsible for energy transfer from a sensitizer to a polymer molecule²²⁻²⁴. This will be studied in some detail in a next paper.

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